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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | | Application Number | 10/823,169 |
| | | Filing Date | April 13, 2004 |
| | | First Named Inventor | GAO |
| | | Group Art Unit | 1621 |
| | | Examiner Name | NAZARIO |
| Total Number of Pages in This Submission | 11 | Attorney Docket Number | 10013.0004US |

| ENCLOSURES (check all that apply) | | |
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| <input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) 1. Form PTO-1449 (1 sheet); 2. References AW and AX. |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
|--|-----------------------------------|----------|--------|
| Firm Name | Stout, Uxa, Buyan & Mullins, LLP | | |
| Signature | /Greg S. Hollrigel, Reg. # 45374/ | | |
| Printed Name | Greg S. Hollrigel | | |
| Date | August 11, 2005 | Reg. No. | 45,374 |

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| Signature | /Greg S. Hollrigel, Reg. # 45374/ | | |
| Typed or printed name | Greg S. Hollrigel | Date | 8/11/2005 |

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AUG 11 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/823,169
Applicant : GAO et al.
Filed : April 13, 2004
Title : NOVEL METALLOCENES AND PROCESSES FOR THEIR
PREPARATION

TC/A.U. : 1600/1621
Examiner : NAZARIO, G.P.

Docket No. : 10013.0004US

Mail Stop AMENDMENT
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Date: August 11, 2005

By: /Greg S. Hollrigel, Reg. # 45374/
Greg S. Hollrigel

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(c)(1)

Dear Sir:

Applicant wishes to call to the attention of the Examiner the documents cited on the accompanying Form PTO-1449. Copies of these references are enclosed herewith. This communication is being submitted under 37 C.F.R. 1.97(c)(1). No fee is due regarding this communication.

Statement Under 37 CFR § 1.97(e)

Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

In particular, each item contained herein was cited in the May 12, 2005 International Search Report of International Application No. PCT/SG2005/000120. The other references cited in the

Application No.: 10/823,169
Applicant: GAO et al.
Filed: April 13, 2004
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International Search Report (GB 767298, GB 896391, and Younkin et al.) have already been submitted to the USPTO and considered by the Examiner.

No concession is made that these documents are prior art, and applicant expressly reserves the right to antedate the documents as may be appropriate. Applicant requests that each of these documents be made of record in the above-identified application.

Respectfully submitted,

Date: August 11, 2005

/Greg S. Hollrigel, Reg. # 45374/
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Stout, Uxa, Buyan & Mullins, LLP
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Irvine, California 92618
Telephone: 949-450-1750

Sheet 1 of 1

| Form PTO-1449 | | Docket No.: 10013.0004US | | Application No.: 10/823,169 | | |
|--|-----------------|---|---------|-----------------------------|----------|-------------------------------|
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) | | Applicant: GAO et al. | | | | |
| | | Filing Date: April 13, 2004 | | Group Art Unit: 1621 | | |
| U. S. PATENT DOCUMENTS | | | | | | |
| EXAMINE R INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | |
| | AW | International Search Report mailed May 12, 2005 for International Application No. PCT/SG2005/000120, filed April 12, 2005. | | | | |
| | AX | STN File CA Abstract Accession No. 100:156736; FROLICH et al., "Insertion and reductive coupling of carbon dioxide; formation of $\text{Cp}_2\text{Ti}^{\text{III}}\text{C}_2\text{O}_4\text{Ti}^{\text{III}}\text{Cp}_2$ and $\text{Cp}_2\text{Ti}^{\text{IV}}[\text{O}_2\text{C}(\text{CH}_2)_3\text{NRCH}_2\text{CH}_2\text{NR}-(\text{CH}_2)_3\text{CO}_2]$ (R = iso-C ₄ H ₉)", Zeitschrift fur Chemie (1983), 23(9), 348-9. And, English translation of Abstract. | | | | |
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| EXAMINER | | DATE CONSIDERED | | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | | |